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Form PTO-1449

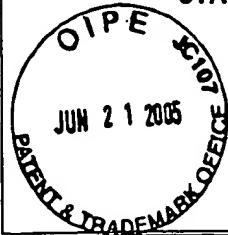
U.S. Department of Commerce
Patent and Trademark Office

Atty. Docket No.

03-1106

Serial No.

09/289,550

INFORMATION DISCLOSURE
STATEMENT BY APPLICANT

Applicant: Friesen et al.

Filing Date:
04/09/99

Group: 3624

U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date

FOREIGN PATENT DOCUMENTS

		Document Number	Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS - Including Author, Title, Date, Pertinent Pages, Etc.

CLK	1.	CATS Traders' Manual, Toronto Stock Exchange, September 30, 1977
CLK	2.	Market Watch, Trading Screen, date not available

Examiner	Charles R/L	Date Considered
		4/18/06

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PTO/SB/08a (08-03)

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Complete If Known

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

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of

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Application Number	09/289,550
Filing Date	April 9, 1999
First Named Inventor	Richard W. Friesen
Art Unit	3624
Examiner Name	Kyle, Charles

Attorney Docket Number

36992.00106

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attach

U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code ² (if known)			
CK		US-4,750,135	06-07-1988	Bollen, Shelly	RECEIVED NOV 14 2003
		US-4,803,201	02-20-1990	Wagner, Susan W.	
		US-5,038,284	08-08-1991	Kramer, Robert M.	
		US-5,077,665	12-31-1991	Silverman et al.	
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		US-5,774,877	06-30-1998	Patterson, Jr. et al.	TECHNOLOGY CENTER 2429
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		US-6,012,046	01-04-2000	Lupien, et al.	
		US-6,014,643	01-11-2000	Minton, Vernon F.	
		US-6,035,287	03-07-2000	Stallaert et al.	
		US-6,098,051	08-01-2000	Lupien et al.	
		US-6,131,087	10-10-2000	Luke et al.	
		US-6,134,535	10-17-2000	Bezberg, Sydney H.	
		US-6,195,647	02-27-2001	Martyn et al.	
		US-6,282,521	08-28-2001	Howorka, Edward R.	
		US-6,408,282	08-18-2002	Buist, Walter D.	
CK		US-6,418,419	07-09-2002	Nieboer et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵				
CK		WO 95/26005 A1	09-28-1995	Bezberg, Sydney H.		
CK		WO 00/52619 A1	09-08-2000	WIT CAPITAL CORPORATION		

Examiner Signature

P. Kyle Charles

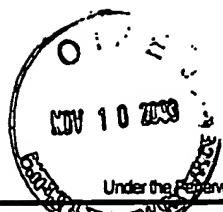
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet 2 of 2

<i>Complete if Known</i>	
<i>Application Number</i>	09/289,550
<i>Filing Date</i>	April 9, 1999
<i>First Named Inventor</i>	Richard W. Friesen
<i>Art Unit</i>	3624
<i>Examiner Name</i>	Charles Kyle
<i>Attorney Docket Number</i>	36992.00106

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NON-PATENT LITERATURE DOCUMENTS

**Examiner
Signature**

CR/L

**Date
Considered**

7/27/06

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